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JC885 U.S. PTO
06/27/01UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. 12013/51801

First Inventor Charles D. Lennox

Title LOCAL DELIVERY OF DRUG AGENTS

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 23]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 2]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

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Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76.☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 09/219,373

☐ Cross Reference to Related Application included in Preliminary Amendment Attached. Note: A cross reference to related application(s) must be
filed with the USPTO before four months from the filing date of this continuing applicationFor CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

(Insert Customer Number or Bar Code Label here)

Name

23838

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Neil McCarthy

Registration No. (Attorney/Agent)

43,435

Signature

Date

June 27, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case.
Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and
Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant
Commissioner for Patents, Box Patent Application, Washington, DC 20231

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**CONTINUATION APPLICATION
REQUEST TRANSMITTAL FORM**
37 C.F.R. §1.53(b)

DOCKET NO. 12013/51801	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION 09/080,237	
	CLASS	CLASS	EXAMINER L. Thanh	ART UNIT 3763

Address to:
Assistant Commissioner for Patents
Washington D.C. 20231

APPLICANT IDENTIFIER: **C. D. LENNOX.**

This is a request for filing a **continuation** application under 37 C.F.R. § 1.53(b) of pending prior application Serial No. **09/080,237** filed on **May 18, 1998**, entitled: **LOCAL DELIVERY OF DRUG AGENTS**

Enclosed are:

15 sheets of specification, 7 sheet(s) of claim(s), 1 sheet of abstract; and 3 sheets of drawings.
Continuation Application Request Transmittal Form under 37 C.F.R. § 1.53(b)
Copy of Declaration and Power of Attorney from parent.
Copy of Assignment from parent.
Information Disclosure Statement Under C.F.R. § 1.97 and PTO 1449
The filing fee is calculated below:

	NUMBER FILED	NUMBER EXTRA*	RATE (\$)	FEE (\$)
BASIC FEE				710.00
TOTAL CLAIMS	25 - 20 =	5	18.00	90.00
INDEPENDENT CLAIMS	3 - 3 =	0	80.00	0.00
MULTIPLE DEPENDENT CLAIM PRESENT			270.00	
*Number extra must be zero or larger			TOTAL	800.00
If Applicant is a small entity under 37 C.F.R. §§ 1.9 and 1.27, then divide total fee by 2, and enter amount here.			SMALL ENTITY TOTAL	

Please charge the required application filing fee of **\$ 800.00** to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**.

The Commissioner is hereby authorized to charge payment of the following fees, associated with this communication or arising during the pendency of this application, or to credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**

Dated: June 27, 2001

By: 

Neil M. McCarthy
Reg. No. 43,435

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